



JC14 Rec'd PGT/PTO 27 NOV 2001

FORM PTO-1390  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

23655IN2PCT/US

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/980227

INTERNATIONAL APPLICATION NO.  
PCT/EP01/03891

INTERNATIONAL FILING DATE  
April 5, 2001

PRIORITY DATE CLAIMED (EARLIEST)  
April 6, 2000

TITLE OF INVENTION MOULDING SUITABLE FOR PHARMACEUTICAL APPLICATIONS  
AND METHOD FOR PRODUCTION THEREOF

APPLICANT(S) FOR DO/EO/US

Albert Louis Victor Jozef Claessens

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau. (PCT/IB/308)
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An <sup>unsigned</sup> oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (2 pages)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (International Search Report 2 pages in English listing references and their relevance)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment. ANNEXES, IF ANY, ARE NOT BE ENTERED
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information: WO 01/76967 (cover sheet with abstract)
18. ☒ PCT/IB/308
19. ☒ CLAIM IS HEREBY MADE OF THE BENEFIT OF THE FILING DATES OF German Patent Applications: 100 16 961.9 filed April 6, 2000  
101 11 550.4 filed March 10, 2001 UNDER 35 USC 119
20. ☒ Express Mail mailing label No. EF024293241US deposited November 27, 2001

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JC10 Rec'd PCT/PTO 2 7 NOV 2001

| U.S. APPLICATION NO. <b>09/980227</b>   |              | INTERNATIONAL APPLICATION NO. <b>PCT/EP01/03891</b> |            | ATTORNEY'S DOCKET NUMBER<br><b>23655IN2PCT/US</b>  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|---|--------------|---|------------|--|--|--------|--------------|--------------|------|--|--------------|----|--------|--|--|--------------------|---|-------|--|--|---|--|--|--|--|--|--|--|-----------|----|--|--|--|-----------|----|--|--|--|------------|----|--------------------------------------|--|--|--|-----------|--|--|--|--|----|-------------------|--|--|--|-----------|--|--|--|--|----|-----------------------------|--|--|--|-----------|---|--|--|--|----|------------------------------|--|--|--|-----------|--|--|--|--|---------------|--|--|--|--|----------|--|
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... \$970.00<br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but<br>international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00<br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00<br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00<br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |              |   |            | <b>CALCULATIONS PTO USE ONLY</b><br><br><div style="border: 1px solid black; height: 100px; width: 100%;"></div>   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   |            | Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 CFR 1.492(e)).<br><table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>31</td> <td>- 20 =</td> <td></td> <td></td> </tr> <tr> <td>Independent claims</td> <td>7</td> <td>- 3 =</td> <td></td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td></td> </tr> <tr> <td colspan="3"></td> <td>X \$18.00</td> <td>\$</td> </tr> <tr> <td colspan="3"></td> <td>X \$78.00</td> <td>\$</td> </tr> <tr> <td colspan="3"></td> <td>+ \$260.00</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$ 890.00</td> </tr> <tr> <td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>SUBTOTAL =</b></td> <td>\$ 890.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL NATIONAL FEE =</b></td> <td>\$ 890.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL FEES ENCLOSED =</b></td> <td>\$ 890.00</td> </tr> <tr> <td colspan="4"></td> <td>Amount to be:</td> </tr> <tr> <td colspan="4"></td> <td>refunded</td> </tr> <tr> <td colspan="4"></td> <td>charged</td> </tr> </tbody> </table> |  | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |  | Total claims | 31 | - 20 = |  |  | Independent claims | 7 | - 3 = |  |  | MULTIPLE DEPENDENT CLAIM(S) (if applicable) |  |  |  |  |  |  |  | X \$18.00 | \$ |  |  |  | X \$78.00 | \$ |  |  |  | + \$260.00 | \$ | <b>TOTAL OF ABOVE CALCULATIONS =</b> |  |  |  | \$ 890.00 | Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). |  |  |  | \$ | <b>SUBTOTAL =</b> |  |  |  | \$ 890.00 | Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). |  |  |  | \$ | <b>TOTAL NATIONAL FEE =</b> |  |  |  | \$ 890.00 | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. |  |  |  | \$ | <b>TOTAL FEES ENCLOSED =</b> |  |  |  | \$ 890.00 |  |  |  |  | Amount to be: |  |  |  |  | refunded |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE       |  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| Total claims  | 31           | - 20 =  |            |  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| Independent claims  | 7            | - 3 =   |            |  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   |            |  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   | X \$18.00  | \$   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   | X \$78.00  | \$   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   | + \$260.00 | \$   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |            | \$ 890.00  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).  |              |   |            | \$   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| <b>SUBTOTAL =</b>   |              |   |            | \$ 890.00  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |            | \$   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |            | \$ 890.00  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.   |              |   |            | \$   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |            | \$ 890.00  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   |            | Amount to be:  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   |            | refunded   |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |
|   |              |   |            | charged  |  |        |              |              |      |  |              |    |        |  |  |                    |   |       |  |  |   |  |  |  |  |  |  |  |           |    |  |  |  |           |    |  |  |  |            |    |                                      |  |  |  |           |  |  |  |  |    |                   |  |  |  |           |  |  |  |  |    |                             |  |  |  |           |   |  |  |  |    |                              |  |  |  |           |  |  |  |  |               |  |  |  |  |          |  |

- a. ☒ A check in the amount of \$ 890.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0105. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

MARTIN A. FARBER

866 United Nations Plaza, Suite 473

New York, NY 10017

Tel (212) 758-2878

Fax (212) 758-2913

SIGNATURE:

MARTIN A. FARBER

NAME

Reg. No. 22,345